

Attorney's Docket No. SRL 6140 (C-3090/2/D1)

DECLARATION AND POWER OF ATTORNEY

REGULAR OR DESIGN APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROCESSES FOR THE PREPARATION OF 9, 11-EPOXY STEROIDS AND INTERMEDIATES USEFUL THEREIN

the specification of which:

(Check one)

	is attached hereto	*
[x]	was filed on February 9, 1999 a	s Application Serial No.
	09/246,908 , and was amended c	on
	was described and claimed in PC	T International Application
* -	No, filed on	And as amended
	Under PCT Article 19 on	, if any.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a) - (d) or §365(b) of any foreign application for patent or inventor's certificate, or §365(a) of any PCT application which designates at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

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(Number)		(Countr	y)	(Day/Month	/Year	Filed)		•
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(Number)		(Countr	y)	(Day/Month	/Year	Filed)	*.	
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I hereby claim	the hene	fit unde	r Title	35 United	State	s Code	£119(e) of
any United Stat							9112 (G	., 01
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(Applic	ation Nu	mber)		(Filing	Date)			
CLAIM FOR BE	NEFIT OF	EARLIER	U.S. AF	PLICATION (S) UND	ER 35 U	.s.c. 1	20 .
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()				P applicati		, LOHAL,		
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I hereby claim United States a								I any
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insofar as the								on is
not disclosed i provided by the	n the pri	tor Unite	of Titl	s applicati	on in	tne ma: 600 per	nner	т 30
acknowledge the	duty to	disclose	inform	ation which	n is ma	aterial	to	-
patentability a								.56
which became av								
and the nationa	l or PCT	Internat	cional f	iling date	of th	rs abbl	ication	:
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08/763,910	12/11/		Pend:					
(Serial No.)	(Filing	Date)	(Status	patented,	pendi	ng, aba	indoned)	
*								
(Serial No.)	(Filing	Date)	(Status:	patented,	pendi	ng, aba	indoned)	



hereby appoint the following attorneys to prosecute this application and o transact all business in the Patent and Trademark Office connected herewith.

rving Powers (15,700), Donald G. Leavitt (17,626), John K. Roedel, Jr. (25,914), Michael E. Godar (28,416), Edward J. Hejlek (31,525), William E. Lahey (26,757), Richard G. Heywood (18,224), Frank R. Agovino (27,416), Kurt F. James (33,716), G. Harley Blosser (33,650), Paul I. J. Fleischut (35,513), Vincent M. Keil (36,838), Robert M. Evans, Jr. (36,794), Robert M. Bain (36,736), Kathleen M. Petrillo (35,076), Rudolph A. Telscher, Jr. (36,032), David E. Crawford, Jr. (38,118), Paul A. Maddock (37,877), Charles E. Cohen (34,565), Scott A. Williams (39,876), Richard L. Bridge (40,529), David M. Gryte (P-41,809), Christopher M. Goff (P-41,785), George R. Beck (21,180), Dennis A. Bennett (34,547), Jon A. Beusen (30,610), Gary M. Bond (29,283), Grace L. Bonner (32,963), Joseph W. Bulock (37,103), James C. Forbes (39,457), Dennis R. Hoerner (30,914), J. Timothy Keane (27,808), Cynthia S. Kovacevic (35,578), Larry M. Lavin (30,768), Thomas P. McBride (32,706), Michael J. Roth (29,342), Richard H. Shear (26,583), Brian K. Stierwalt (33,213), and Roger A. Williams (27,679), Alan L. Scrivner (43,104).

Send Correspondence To:	Direct Telephone Calls To:
Customer Number: 000321	Scott A. Williams (314) 231-5400
true and that all statements made to be true; and further that these that willful false statements and or imprisonment, or both, under Se	nts made herein of my own knowledge are on information and belief are believed a statements were made with the knowledge the like so made are punishable by fine action 1001 of Title 18 of the United false statements may jeopardize the y patent issued thereon.
Full name of sole or first invento	or <u>John S. Ng</u>
Inventor's signature Chicago, Il: Post Office address 341 West 30	h Place
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Second inventor's signature	Plan 1/1/2012 Date 3/19/99
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Third inventor's signature 1100 Date 415196
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Fifth inventor's signature 211 / / / / Date 3/16/77
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Full name of sixth joint inventor
Sixth inventor's signature Date 3/17/69
Sixth inventor's signature Date Date Date
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Full name of seventh joint inventor Bernhard Erb
Seventh inventor's signature Date
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Eighth inventor's signature Tour Trice of Date 4/4/99
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·	•		
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rose office address.	St. Charles, Missou	ri 63303	, A
	Do. Charles, Masses	<u> </u>	
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Full name of sixth	joint inventor	Jon P. Lawson	
			-1 100
Sixth inventor's ei	gnature Glencoe, Missouri 18804 Melrose Road	100 2012	Date 3/17/99
Posidence	Clargoe Midsouri	Citi	zenshin II S
Rest Office address	19904 Moldage Boad	CIUI	zenship
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	Glencoe, Missouri 6	3038	
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- 33		no continued not	
Full name of sevent.	h joint inventor	Bernvard Elp	
		A Residence	- 0 Alm 199
Seventh inventor's	signature	· · · · · · · · · · · · · · · · · · ·	Date 8 A/m/99
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Post Office address	Gaensackerweg 20		
	CH-5073 Gipf-Oberfr	<u>ick, Switzerland</u>	:
	4		
	,	*	
0 "		*	*
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Eighth inventor's s	ignature		Date
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Ninth inventor's signature	Date Citizenship
Residence Post Office address	
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Tenth inventor's signature Residence Post Office address	Date Citizenship
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Full name of twelfth joint invento	r _, Leo J. Letendre
cose office address zor grandore b	Date 3/22/99 Seouri Citizenship U.S. rive ssouri 63021
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Thirteenth inventor's signature ///// Uff Date 5/10/17
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Fourteenth inventor's signature
Det. 3/11/99

Full name	of fifteenth	joint inve	ntor	<u>Edward E.</u>	Yonan		
Fifteenth	inventor's s	ignature 2	clare!	Menn	Date 4/_	16-99	
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		rol Stream,		60188			

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UNITED STARS DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SRL 6140

SEPTEMBER 07, 1999

PTAS SENNIGER, POWERS, LEAVITT & ROEDEL SCOTT A. WILLIAMS ONE METROPOLITAN SQUARE, 16TH FLOOR ST. LOUIS, MISSOURI 63102



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/02/1999

REEL/FRAME: 010071/0001 NUMBER OF PAGES: 23

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

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DOC DATE: 04/15/1999

ASSIGNOR:

WANG, PING T.

DOC DATE: 03/19/1999

ASSIGNOR:

BAEZ, JULIO A.

DOC DATE: 04/05/1999

ASSIGNOR:

LIU, CHIN

DOC DATE: 04/15/1999

ASSIGNOR:

ANDERSON, DENNIS K.

DOC DATE: 03/16/1999

ASSIGNOR:

LAWSON, JON P.

DOC DATE: 03/12/1999

ASSIGNOR:

ERB, BERNHARD /

DOC DATE: 04/08/1999

DL

010071/0001 PAGE 2

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WIECZOREK, JOSEPH /

DOC DATE: 04/20/1999

ASSIGNOR:

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DOC DATE: 05/19/1999

ASSIGNOR:

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DOC DATE: 06/07/1999

ASSIGNOR:

KUNDA, SASTRY A.

DOC DATE: 03/16/1999

ASSIGNOR:

LETENDRE, LEO J.

DOC DATE: 03/22/1999

ASSIGNOR:

POZZO, MARK J.

DOC DATE: 03/16/1999

ASSIGNOR:

SING, YUEN-LUNG L.

DOC DATE: 03/16/1999

ASSIGNOR:

YONAN, EDWARD E. <

DOC DATE: 04/16/1999

ASSIGNEE:

G.D. SEARLE & COMPANY / 5200 OLD ORCHARD DRIVE SKOKIE, ILLINOIS 60077

SERIAL NUMBER: 09246908

PATENT NUMBER:

FILING DATE: 02/09/1999

ISSUE DATE:

ALLYSON PURNELL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

RECOI





To the Honorable Commissioner of Patents	101085485 document(s) or copy thereof.
1. Name of conveying party(ies) (assignor(s)):	
John S. Ng, Ping T. Wang, Julio A. Baez, Chin Liu, De Fortunato Vanzanella, Sastry A. Kunda, Leo J. Letendr	ennis K. Anderson, Jon P. Lawson, Bernhard Erb, Joseph Wieczorek, Gennaro Mucciariel re, Mark J. Pozzo, Yuen-Lung L. Sing, Edward E. Yonan
Additional name(s) of conveying party(ies) attached? Ye	es 🗵 No
2. Name and Address of Party(ies) receiving an interest (assigne	
Name: G.D. Searle & Company	
Address: 5200 Old Orchard Drive	
City: Skokie	
State: Illinois Zip: 60077	
Country: U.S.A.	
Additional name(s) & address(es) attached? Yes Yes	No
3. Nature of Conveyance:	
☐ Assignment ☐ Merger	☐ Change of Name
☐ Verified Translation ☐ Security Agreemen	nt Other
Execution Date: June 7, 1999	
 Application number(s) or patent number(s): If this document is being filed together with a new application 	n, the execution date(s) of the application is:
A. Patent Application No(s).	B. Patent No(s).
09/246,908	
Others on add	ditional sheet(s) attached?
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: Application(s) 1 + Patent(s): = Total 1
Scott A. Williams	7. Total Fee (37 CFR 3.41) \$ 40.00
Senniger, Powers, Leavitt & Roedel	⊠ Enclosed
One Metropolitan Square	☐ Authorized to be charged to deposit account
16th Floor St. Louis, Missouri 63102	8. Deposit Account Number: 19-1345
(314) 231-5400 (telephone)	(Duplicate copy of this sheet attached)
	Charge any underpayment or credit any overpayment to above Deposit Account
	DO NOT USE THIS SPACE
Statement and signature To the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of my knowledge and belief, the foregoing information of the best of	ation is true and correct and any attached copy is a true copy of the original document.
Scott A. Williams	:WL 11477
	Signature Date
Total number of pages	including cover sheet, attachments, and document: 23
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ASSIGNMENT

WHEREAS, We, John S. Ng of Chicago, Illinois; Ping T. Wang of Ballwin, Missouri; Julio A. Baez of San Diego, California; Chin Liu of Vernon Hills, Illinois; Dennis K. Anderson of St. Charles, Missouri; Jon P. Lawson of Glencoe, Missouri; Bernhard Erb of Gipf-Oberfrick, Switzerland; Joseph Wieczorek of Cary, Illinois; Gennaro Mucciariello of Italy; Fortunato Vanzanella of Italy; Sastry A. Kunda of Chesterfield, Missouri; Leo J. Letendre of Manchester, Missouri; Mark J. Pozzo of Chesterfield, Missouri; Yuen-Lung L. Sing of St. Louis, Missouri; and Edward E. Yonan of Carol Stream, Illinois, have jointly invented an improvement relating to PROCESSES FOR THE PREPARATION OF 9,11-EPOXY STEROIDS AND INTERMEDIATES USEFUL THEREIN (file 3090/SRL 6140) and have executed a series of applications for a United States patent based thereon (U.S. Application Serial No. 09/246,908 filed February 9, 1999; U.S. Application Serial No. 08/763,910 filed December 11, 1996; and U.S. Provisional Application Serial No. 60/008,455 filed December 11, 1995);

AND, WHEREAS, G.D. Searle & Co. of Skokie, Illinois, a corporation of the State of Delaware (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and do hereby sell, assign, and transfer unto said ASSIGNEE our interest and all right, title, and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said invention, said United States application, any other United States applications (including divisional, continuing or reissue applications) based in whole or in part on said United States applications or in whole or in part on said invention, any foreign

applications based in whole or in part on any of the aforesaid United States applications or in whole or in part on said invention, and any and all patents (including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications or on said invention or any part thereof;

TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND We hereby authorize and request the Commissioner of Patents of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said ASSIGNEE;

AND We hereby agree for ourselves and for our respective heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND We hereby covenant for ourselves and our respective legal representatives, and agree with said ASSIGNEE, its successors and assigns, that we have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed my right, title, and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

4/15/99

Date

John S. Ng.

ACKNOWLEDGMENT

STATE OF IChio'S)

COUNTY OF Cole

On this 15 day of 1999, before me, a Notary Public, personally appeared John S. Ng, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above

written.

Notary Public

My Commission Expires:

1046-01

OFFICIAL SEAL CANDACE JOHNSON

NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/16/01

ASSIGNMENT - PAGE 3 OF 17

IN WITNESS WHEREOF, I have hereunto set my hand... Ping T. Wang Date ACKNOWLEDGMENT STATE OF MISSOURI COUNTY OF ST. LOUIS On this 19th day of 1999, before me, a Notary Public, personally appeared Ping T. Wang, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed. IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written. My Commission Expires: KAROL J. WILDER atary Public - Notary Seal State of Missouri St Louis County

IN WITNESS WHEREOF, I hav	ve hereunto set my hand	d. Bas	
Date	Julio A. Baez		
<u>ACKN</u>	OWLEDGMENT		
STATE OF <u>M/SJUURI</u>) COUNTY OF <u>S7. Louis</u>) On this <u>5th</u> day of <u>April</u> appeared Julio A. Baez, to me known to be the	person described in and	i who execute	olic, personally ed the foregoing
IN TESTIMONY WHEREOF, I have havitten. Notary Public My Commission Expires:			e and year last above

IN WITNESS WH	HEREOF, I have hereunto set my h	pand.	
4/15/99	Alli	(Mh)	
Date	Chin Liu		
	<u>ACKNOWLEDGMENT</u>	;	· · · · · · · · · · · · · · · · · · ·
STATE OF Illino 5)		*	
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appeared Chin Liu, to me known	1999, before a to be the person described in and at he executed same as his free act	who executed the	olic, personally e foregoing
IN TESTIMONY WHER written. Notary Rul	EOF, I have hereunto set my hand	and seal the date	and year last above
My Commission Expires:			
10-16-01	OFFICIAL CANDACE J NOTARY PUBLIC, ST/ MY COMMISSION EX	JOHNSON \$	

IN WITNESS WHEREOF, I has $3/16/99$	Lenna Andison
Date	Dennis K. Anderson
* *	*
<u>ACKN</u>	IOWLEDGMENT
STATE OF MISSOURI) COUNTY OF ST. LOUIS)	
On this 16 Lday of MARCH	, 1999, before me, a Notary Public, personally be the person described in and who executed the se executed same as his free act and deed.
written.	Mildle
My Commission Expires:	
KAROL J. WILDER Notary Public - Notary Seal State of Missouri St Louis County My Commission Expires Sep 25, 2002	

IN WITNESS WHEREOF, I have hereunto set my hand. 3/12/94 Date Jon P. Lawson STATE OF <u>///www.i</u>)
COUNTY OF <u>/ Charles</u>) On this And day of Mount, 1999, before me, a Notary Public, personally appeared Jon P. Lawson, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed. IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written. My Commission Expires: TERRILL THEREAU Notary Public - Natary Seal State of Missouri St. Charles County

My Commission Expires Oct 20, 2002

Attestation

I, the undersigned notary public in Basel, Switzerland, certify herewith the authenticity of the signature of Dr. Bernhard Erb, citizen of Oberhof, Switzerland, residing in Gipf-Oberfrick, Switzerland.

BASEL, this 12rd (twelfth) of April, 1999 (nineteenhundredandninetynine).



M. Stacher, Noter

Dr. M. Staehelin Notar

Leg. Prot.: 248/1999

4/20/99

Date

Joseph Wieczorek

ACKNOWLEDGMENT

STATE OF Illings)

COUNTY OF

On this Oday of _______, 1999, before me, a Notary Public, personally appeared Joseph Wieczorek, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above

written.

Notary Public

My Commission Expires:

0-16.01

OFFICIAL SEAL CANDACE JOHNSON

NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/16/01

14/05/9	<u>)</u>	ls.	Illeon	Illus	Odus	les	
Date		И	naro Muccia				
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		ACKNOWI	EDGMENT				
STATE OF)						
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On this	day of		, 1999, befor	e me, a Nota	ary Public	, personall	y
appeared Gennaro Mu foregoing assignment							
IN TESTIMO written.	NY WHEREOF	, I have hereun	to set my ha	nd and seal i	he date ar	id year las	above
written.			•	· .	•		
	Notary Public		~ , ;	·	÷	*	*
My Commission Expi	res:	*				*	
							*
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07/06/	1999	(<u>e</u>	fortunts !	<u>Jananel</u>	llu.	
Date	*	Fo	rtunato Vanzano	ella		
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COUNTY OF			- ()	*	***	
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	Notary Publi	c			(2)	
My Commission Ex	xpires:	* .	* *			
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3/16/99	sustiffins.
Date	Sastry A. Kunda
	ACKNOWLEDGMENT
STATE OF MISSOURI) COUNTY OF ST Louis	

On this 1611 day of ______, 1999, before me, a Notary Public, personally appeared Sastry A. Kunda, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written. J. Shide

My Commission Expires:

KAROL I WILDER

3/2491

Date

Leo J. Letendre

ACKNOWLEDGMENT

STATE OF MISSOURI

COUNTY OF ST LOUIS)

On this 2 day of ______, 1999, before me, a Notary Public, personally appeared Leo J. Letendre, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Notary Public

My Commission Expires:

KAROL I WILDER

Notary Public - Natary Seal
State of Missouri
St Louis County
My Commission Expires Sep 25, 2002

3/16/99

Mark J. Pozzó

Date

ACKNOWLEDGMENT

STATE OF MISSOUR)

COUNTY OF ST. LOUB)

On this 16th day of _______, 1999, before me, a Notary Public, personally appeared Mark J. Pozzo, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Notary Public

My Commission Expires:

KAROL J, WILDER
Notary Public - Notary Seal
State of Missourt
St Louis County
My Commission Expires Sep 25, 2002

IN WITNESS WHEREOF, I have hereunto set my hand. Yeen-Lung L. Sing Date **ACKNOWLEDGMENT** STATE OF MISSOURI) COUNTY OF ST. LOUIS On this 16 th day of Mach, 1999, before me, a Notary Public, personally appeared Yuen-Lung L. Sing, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed. IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written. Wildu My Commission Expires: KAROL J. WILDER Notary Public - Notary Seal State of Missouri SI Louis County

4-16-99

Date

Edward L. Yonan

<u>ACKNOWLEDGMENT</u>

STATE OF Illeros)

COUNTY OF

On this 6 day of 7, 1999, before me, a Notary Public, personally appeared Edward L. Yonan, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above

written.

Notary Public

My Commission Expires:

10-16-01

OFFICIAL SEAL CANDACE JOHNSON

NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES: 10/16/01